

**RECEIVED
CENTRAL FAX CENTER**

NOV 17 2005

FAX TRANSMISSION**DATE:** November 17, 2005**PTO IDENTIFIER:** Application Number 10/826081-Conf. #1335
Patent Number**Inventor:** Norihiro KIMOTO et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (617) 273-8300**FROM:** LAHIVE & COCKFIELD, LLP

Jeanne M. DiGiorgio

PHONE: (617) 227-7400**Attorney Dkt. #:** SHZ-021**PAGES (Including Cover Sheet):** 7**CONTENTS:** Request for Acknowledgement of Previously Submitted Information Disclosure Statement (2 pages)
Copy of Return Receipt Postcard date stamped by the PTO (1 page)
Copy of PTO/SB/08 (2 pages)
Certificate of Transmission (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (617) 227-7400 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

LAHIVE & COCKFIELD, LLP
28 State Street, Boston, Massachusetts 02109
Telephone: (617) 227-7400 Facsimile: (617) 742-4214

PTO/SB/07 (09-04)

Approved for use through 07/31/2008. OMB 0551-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 10/828081

Attorney Docket No.: SHZ-021

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on November 17, 2005
Date


SignatureJeanne M. DiGiorgio

Typed or printed name of person signing Certificate

41,710
Registration Number, if applicable(617) 227-7400
Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.


Request for Acknowledgement of Previously Submitted Information
Disclosure Statement (2 pages)
Copy of Return Receipt Postcard date stamped by the PTO (1 page)
Copy of PTO/SB/08 (2 pages)

**RECEIVED
CENTRAL FAX CENTER**

003/007

NOV 17 2005

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 571-273-8300 at MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 17, 2005 Signature: 

Jeanne M. DiGiorgio

Docket No.: SHZ-021
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:
Norihiro Kimoto *et al.*

Application No.: 10/826081

Confirmation No.: 1335

Filed: April 15, 2004

Art Unit: 1652

For: CARBONYL REDUCTASES,
POLYNUCLEOTIDES COMPRISING DNA
ENCODING THE SAME, METHODS FOR
PRODUCING THE SAME, AND METHODS
FOR PRODUCING OPTICALLY ACTIVE
ALCOHOL UTILIZING THE SAME

Examiner: K.H. Gebreyesus

**REQUEST FOR ACKNOWLEDGEMENT OF PREVIOUSLY SUBMITTED
INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It has come to our attention that form PTO/SB/08, submitted with the initial Information Disclosure Statement (IDS) in the instant application on August 23, 2004, has not yet been acknowledged.

The IDS and its accompanying documents (form PTO/SB/08, European Search Report, and eight (8) selected references) were mailed on August 23, 2004. These documents were received by the U.S. Patent and Trademark Office on August 25, 2004, as confirmed by the return receipt postcard (a copy of which is attached herewith). The Image File Wrapper of the USPTO PAIR system further confirms that the IDS and its related documents were filed on August 23, 2004.

Application No.: 10/826081

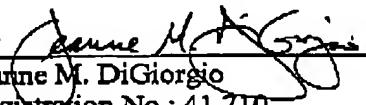
Docket No.: SHZ-021

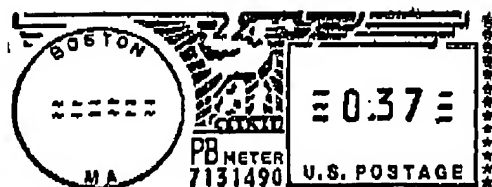
Accordingly, Applicants respectfully request that form PTO/SB/08, originally submitted on August 23, 2004 (a copy of which is enclosed herewith – 2 pages), be reviewed by the Examiner and acknowledged. If additionally copies of the references cited on form PTO/SB/08 are required, Applicants will be happy to provide such copies.

Applicants appreciate the Examiner's prompt response to this submission.

Dated: November 17, 2005

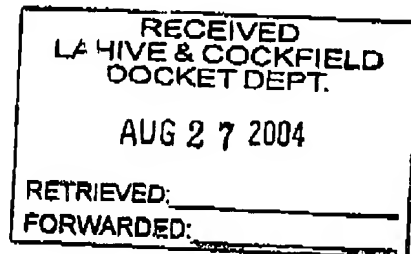
Respectfully submitted,

By 
Jeanne M. DiGiorgio
Registration No.: 41,710
LAHIVE & COCKFIELD, LLP
28 State Street
Boston, Massachusetts 02109
(617) 227-7400
(617) 742-4214 (Fax)
Attorney/Agent For Applicant



NO POSTAGE STAMP NECESSARY
POSTAGE HAS BEEN PREPAID BY

LAHIVE & COCKFIELD, LLP
28 STATE STREET
BOSTON, MA 02109



0210941784 07

Office No. SHZ-021

**THE "RECEIVED" STAMP OF THE PATENT AND TRADEMARK OFFICE
IMPRINTED HEREON ACKNOWLEDGES THE FILING OF:**

Description of Paper* : Transmittal Letter (1 page; in duplicate), Information Disclosure Statement (2 pages), A copy of the European Search Report (3 pages) PTO Form SB/08 (1 page) and copies of selected references cited therein (8) are enclosed along with this postcard.

Title: CARBONYL REDUCTASES, POLYNUCLEOTIDES COMPRISING DNA ENCODING THE SAME, METHODS FOR PRODUCING THE SAME, AND METHODS FOR PRODUCING OPTICALLY ACTIVE ALCOHOL UTILIZING THE SAME

Name of Applicants: Norihiro Kimoto *et al.*

Serial No.: 10/826081

Atty: EAH/JMD

Date: August 23, 2004

*with Certificate of First Class Mailing



PTO/SB/0aa/b (08-03)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete If Known		
			Application Number	10/826,081	
			Filing Date	April 15, 2004	
			First Named Inventor	Norihiro Kimoto	
			Art Unit	1652	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	SHZ-021

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US 5508461 A	04-16-1998	Ito et al.	
	A2	US 5629200 A	05-13-1997	Furukawa et al.	
	A3	US 5679557 A	10-21-1997	Ito et al.	
	A4	US 5811293 A	09-22-1998	Furukawa et al.	
	A5	US 5902900 A	05-11-1999	Ito et al.	
	A6	US 6114582 A	09-05-2000	Furukawa et al.	
	A7	US 6248573 B1	06-19-2001	Ito et al.	
	A8	US 2002/045233 A1	04-18-2002	Hershberger et al.	
	A9	US 6528686 B1	03-04-2003	Akamatsu et al.	
	A10	US 2003/143701 A1	07-31-2003	Matsuyama et al.	
	A11	US 2004/0088993 A1	05-06-2004	Kimoto et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	A12	EP 0 627 397 A1	12-07-1994	DAICEL CHEMICAL INDUSTRIES CO., LTD.		
	A13	JP 8-89261 A	04-09-1996	KANEGAFUCHI CHEM IND CO LTD		Abstr.
	A14	EP 0 654 534 A2,A3	05-24-1995	DAICEL CHEMICAL INDUSTRIES CO., LTD.		
	A15	JP 8-098697 A	04-16-1996	DAICEL CHEM IND LTD		
	A16	JP 8-325188 A	12-10-1996	DAICEL CHEM IND LTD		Abstr.
	A17	DE 69401306 D1	02-13-1997	DAICEL CHEM		
	A18	WO 99/23242 A1	05-14-1999	ELI LILLY AND COMPANY		
	A19	AU 1302999 A	05-24-1999	LILLY CO ELI		
	A20	EP 0918090 A2	05-26-1999	LILLY CO ELI		
	A21	DE 69423179 D1	04-06-1999	DAICEL CHEM		
	A22	EP 0924193 A1	06-23-1999	DAICEL CHEM		
	A23	EP 0924194 A2	06-23-1999	DAICEL CHEM		
	A24	EP 0938134 A1	09-01-1999	DAICEL CHEM		
	A25	DE 69431373 D1	10-17-2002	DAICEL CHEM		
	A26	DE 69432158 T2	10-16-2003	DAICEL CHEM		
	A27	DE 69432879 T2	02-19-2004	DAICEL CHEM		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Examiner Signature		Date Considered	

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/826,081
				Filing Date	April 15, 2004
				First Named Inventor	Norihiro Kimoto
				Art Unit	1652
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	SHZ-021
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	B1	Costello CA, et al. "Purification, characterization, cDNA cloning and expression of a novel ketoreductase from <i>Zygosaccharomyces rouxii</i> ." <i>Eur J Biochem</i> . 2000 Sep;267(17):5493-501.		
	B2	Watanabe Y, et al. "Cloning and sequencing of phospholipase B gene from the yeast <i>Torulaspora delbrueckii</i> ." <i>FEMS Microbiol Lett</i> . 1994 Nov 15;124(1):29-34.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--